

**STATEMENT UNDER 37 CFR 3.73(B)**Applicant/Patent Owner: Richard A. Dixon and Xian Z. HeApplication No./Patent No. 09/926,571 Filed/Issue Date: November 28, 2001Entitled: ISOFLAVONOID METHYLATION ENZYMEThe Samuel Roberts Noble Foundation

(Name of Assignee)

, a non-profit organization(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012378, Frame 0294, or for which a copy thereof is attached.

**OR**

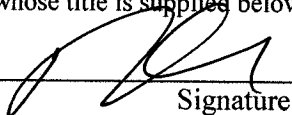
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.081

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

July 8, 2008

Date

Robert E. Hanson, Reg. No. 42,628

Printed or Typed Name

(214) 259-0931

Telephone Number

Attorney for Applicants

Title

NBLE:025US

File Code



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RECORDATION DATE: 12/12/2001

REEL/FRAME: 012378/0294  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DIXON, RICHARD A.

DOC DATE: 11/26/2001

ASSIGNOR:

HE, XIAN Z.

DOC DATE: 11/26/2001

ASSIGNEE:

THE SAMUEL ROBERTS NOBLE  
FOUNDATION, INC.  
2510 SAM NOBLE PARKWAY  
ARDMORE, OKLAHOMA 73401

SERIAL NUMBER: 60135026  
PATENT NUMBER:

FILING DATE: 05/20/1999  
ISSUE DATE:

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
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# ASSIGNMENT

I/We

- (1) Richard A. Dixon; and
- (2) Xian Z. He

residing, respectively, at:

- (1) 206 Woods Lane, Ardmore, Oklahoma 73401; and
- (2) 316 Beaumont Drive, Ardmore, Oklahoma 73401

for good and valuable consideration, of which receipt is acknowledged, hereby assign, sell, and transfer to The Samuel Roberts Noble Foundation, Inc. (the "Foundation") a not-for-profit corporation organized and existing under the laws of the State of Oklahoma and having an office and place of business at 2510 Sam Noble Parkway, Ardmore, Oklahoma 73401, its successors and assigns: (1) the entire right, title, and interest in all countries throughout the world in and to the inventions and discoveries (collectively "Inventions") described in the patent application entitled:

## ISOFLAVONOID METHYLATION ENZYME

Application No. PCT/US00/13389, which was filed under the Patent Cooperation Treaty in the United States Receiving Office (RO/US) on 15 May 2000, any and all national applications therefrom, renewals, revivals, extensions, continuations and divisions thereof, and any substitute applications therefor; (2) the right to file patent applications in the name of the Foundation, a Foundation-designee, or in our names for the Inventions in all countries of the world; (3) the entire right, title, and interest in and to any Letters Patent (or the like) which may issue on the aforesaid application(s) in any country of the world and any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof; and (4) the entire right, title, and interest in and to all rights of priority in any country of the world, as a result of the above-identified PCT application and, if listed below, the following priority application(s):

60/135,026  
Application No.

United States  
Country

20 May 1999  
Filing Date

I/We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent (or the like) in any country throughout the world to the Foundation (or its successors, assigns, or designees), as the assignee of the entire right, title, and interest therein, as the same would have been held and enjoyed by me/us had this assignment, sale, and transfer not been made.

I/We agree to voluntarily notify the Foundation of any Invention improvements and will communicate with the Foundation, its representatives, or designees regarding the same; and I/we further agree to make any additional applications as may be required or otherwise desired for patents for said Inventions or Invention improvements, or any part or parts thereof.

I/We further agree, at any time, upon request of the Foundation (i) to execute and to deliver to the Foundation such additional documents, if any, as are necessary or desirable to secure patent protection on said Inventions in any country of the world and (ii) to do that necessary or desirable to give full effect to and to perfect any and all rights in the Foundation pursuant to this Assignment, including testifying in any relevant legal proceeding and/or executing, delivering, and/or procuring any and all further documents evidencing this assignment, transfer, and sale.

ASSIGNORS:

MA Dixon  
Richard A. Dixon

On this 26<sup>th</sup> day of November, 2001, Richard A. Dixon personally appeared before me, a Notary Public in and for the State of Oklahoma, Carter County, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

Allepon Wilkins  
Notary Public

MY COMMISSION EXPIRES 07-12-2002

Xian Z. He  
Xian Z. He

On this 26 day of November, 2001, Xian Z. He personally appeared before me, a Notary Public in and for the State of Oklahoma, Carter County, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

Allison Wilkins  
Notary Public

MY COMMISSION EXPIRES 07-12-2002